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PTO/SB/05 (11-00) oved for use through 10/31/2002. OMB 0651-0032

smark Office; U.S. DEPARTMENT OF COMMERCE

## UTILITY PATENT APPLICATION TRANSMITTAL

Under the Paperwork Reduction Act of 1995, no persons are	required to respon	d to a collection of inform	nation unless it displays a valid OMB conti	ol number
UTILITY	Attorne	y Docket No.	030727.0014.DIV1	
PATENT APPLICATION	First In	ventor	Qun Dang	. ቯ,
TRANSMITTAL	Title	NOVEL PURI	)SE-vi	

(Only for new nonprovisional applications under 37 CFR 1.53(b)) | Express Mail Label No. EL675945535US Assistant Commissioner for Paten APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) 7. Computer Program (Appendix) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission 2. See 37 CFR 1.27. (if applicable, all necessary) Specification Computer Readable Form (CRF) 3. [Total Pages 124] (preferred arrangement set forth below) - Descriptive title of the invention Specification Sequence Listing on: Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or i. Statement Regarding Fed sponsored R & D ii. - Reference to sequence listing, a table, or a computer program listing appendix C. Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) Assignment Papers (cover sheet & document(s)) 9. - Detailed Description - Claim(s) 37 CFR 3.73(b) Statement Power of 10. Attorney Abstract of the Disclosure (when there is an assignee) English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [Total Sheets 10] 11. 4. Copies of IDS Information Disclosure [Total Pages 3] 12. Oath or Declaration 5. Statement (IDS)/PTO-1449 Citations Newly executed (original or copy) 13. Preliminary Amendment Return Receipt Postcard (MPEP 503) Copy from a prior application (37 CFR 1.63 (d)) 14. (for continuation/divisional with Box 18 completed) (Should be specifically itemized) **DELETION OF INVENTOR(S)** Certified Copy of Priority Document(s) (if foreign ŧΞ 15. i. Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). priority is claimed) Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 6. Application Data Sheet. See 37 CFR 1.76 16. or its equivalent. Revocation and Grant of Power of 17. Other: Attorney If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, 18. or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) of prior application No.: 09/036,327 Continuation Divisional Examiner M. Berch Group Art Unit: 1624 Prior application information: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 23865 Correspondence address below Customer Number or Bar Code Label Jessica R. Wolff Name Brobeck, Phleger & Harrison LLP Address 12390 El Camino Real CA State Zip Code 92130-2081 City San Diego **USA** Telephone 858/720-2500 Fax 858/720-2555 Country

Name (Print/Type)	Richard H. Pagliery	Registration No. (Attorney/Agent)	44,276
Signature	Rielian Panlin	Date	8/30/01

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## FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)1030.00

Complete if Known					
Application Number	To be assigned				
Filing Date	Herewith				
First Named Inventor	Qun Dang, et al.				
Examiner Name	To be assigned				
Group Art Unit	To be assigned				
Attorney Docket No.	030727.0014.DIV1				

	METHOD OF PAYMENT			FEE CALCULATION (continued)									
	1.	⊠ The	e Comn	nissione	r is here	by authorized to charge indica	ated	3. ADI	. ADDITIONAL FEES				
		Deposi	, _						Large Entity		Small Entity		
		Accour	nt   5	0-1273				Fee	Fee	Fee	Fee	Fee Description	Fee Paid
		Numbe	ır —				,	Code 105	( <b>\$)</b> 130	Code 205	( <b>\$</b> ) 65	Surcharge – late filing fee or oath	
		Deposi		robock	Dhlagar	& Harrison III D		127	50	227	25	Surcharge – late provisional filing fee or	
		Accour Name	ccount Brobeck, Phleger & Harrison LLP lame				J	121	50	221	20	cover sheet	
	Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17							139	130	139	130	Non-English specification	
	Applicant daims small entity status. See							147	2,520	147	2,520	For filing a request for ex parte reexamination	
	37 CFR 1.27 2. ⊠ Payment Enclosed:							112	920*	112	920*	Requesting publication of SIR prior to	
	Check							113	1,840* 113	440		Examiner action	
	FEE CALCULATION									1,840*	Requesting publication of SIR after Examiner action		
	1. BASIC FILING FEE							115	110	215	55	Extension for reply within first month	
Ō	١.					tity		116	390	216	195	Extension for reply within second month	
Litiin C		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee	Paid	117	890	217	445	Extension for reply within third month	
<u>.</u> =		101	710		• • •	Utility filing fee 710.00	, ]	118	1,390	218	695	Extension for reply within fourth month	
<b>[</b> ]		106	320	206				128	1,890	228	945	Extension for reply within fifth month	
.0		107	490			Plant filing fee		119	310	219	155	Notice of Appeal	
ijħ		108	710			Reissue filing fee		120	310	220	155	Filing a brief in support of an appeal	
	114 150 214 75 Provisional filing fee							121	270	221	135	Request for oral hearing	
	SUBTOTAL (1) (\$)710.00								1,510		•	Petition to institute a public use proceeding	
	2. EXTRA CLAIM FEES							140	110	240	55	Petition to revive – unavoidable	
ĻŲ		Extra Claims Fee from below Fee P  Total Claims 9 -20** = X =							1,240	241	620	Petition to revive – unintentional	
	Tot								1,240	242	620	Utility issue fee (or reissue)	
		epende	nt 7		3** =	X 80.00 = 320.00	$\Box$	143	440	243	220	Design issue fee	
: <b>±</b>	Cla	ıms Itiple De	_ <u>/</u> -poodo	nt.	3 - <u>[4</u>	80.00 320.00	<u>'</u>	144 122	600 130	244 122	300 130	Plant issue fee Petitions to the Commissioner	
		•	Entity	Small	Entity			122	50	123	50	Processing fee under 37 CFR 1.17(q)	
	F	ee	Fee	Fee	Fee	Fee Description		126	180	126	180	Submission of Information Disclosure Stmt	
		ode 103	( <b>\$)</b> 18	Code 203	( <b>\$</b> ) 9	Claims in excess of 20		581	40	581	40	Recording each patent assignment per	
		102	80	202	40	Independent claims in exces	s of 3	146	710	246	355	property (times number of properties) Filing a submission after final rejection	
	1	104	270	204	135	Multiple dependent claim, if	not	140	710	240	555	(37 CFR § 1.129(a))	
		109	80	209	40	paid ** Reissue independent clair	ne	149	710	249	355	For each additional invention to be	
		108	60	209	40	over original patent	113	179	710	279	355	examined (37 CFR § 1.129(b))  Request for Continued Examination (RCE)	$\vdash$
		110	18	210	9	** Reissue claims in excess	of 20	169	900	169	900	Request for expedited examination of a	<b></b>
						and over original patent	$\neg$	109	900	105	900	design application	
	SUBTOTAL (2) (\$)320.00					Other fee (specify)							
	**0	r numbe	er previ	ously pa	aid, if gre	ater; For Reissues, see above	е	*Reduc	ed by Ba	sic Filir	ng Fee P	Paid SUBTOTAL (3) (\$)	

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Richard H. Pagliery

Registration No. (Attorney/Agent)

Signature

Registration No. (Attorney/Agent)

Date

8/30/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.